

SVB INVESTMENT SERVICES INC.
Held NMS Stocks and Options Order Routing Public Report
Generated on Tue Oct 10 2023 09:18:13 GMT-4 EDT
3rd Quarter, 2023

July 2023
S&P 500 Stocks
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders	
Total Non-Directed Orders	3	100.00	3	100.00	0	0.00	0	0.00	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
VIRTU Americas, LLC(NITE)	2	66.67	2	66.67	0	0.00	0	0.00	0	0.00
Citadel Securities LLC(CDRG)	1	33.33	1	33.33	0	0.00	0	0.00	0	0.00

July 2023
Non-S&P 500 Stocks
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders	
Total Non-Directed Orders	5	100.00	5	100.00	0	0.00	0	0.00	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
Citadel Securities LLC(CDRG)	3	60.00	3	60.00	0	0.00	0	0.00	0	0.00
VIRTU Americas, LLC(NITE)	2	40.00	2	40.00	0	0.00	0	0.00	0	0.00

July 2023
Option Contracts
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders	
Total Non-Directed Orders	1	100.00	0	0.00	0	0.00	1	100.00	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
Wolverine Execution Services LLC(WEXX)	1	100.00	0	0.00	0	0.00	1	100.00	0	0.00

August 2023
S&P 500 Stocks
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders	
Total Non-Directed Orders	48	100.00	48	100.00	0	0.00	0	0.00	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
Citadel Securities LLC(CDRG)	18	37.50	18	37.50	0	0.00	0	0.00	0	0.00
VIRTU Americas, LLC(NITE)	12	25.00	12	25.00	0	0.00	0	0.00	0	0.00
Jane Street Capital, LLC(JNST)	11	22.92	11	22.92	0	0.00	0	0.00	0	0.00
G1 Execution Services, LLC(ETMM)	7	14.58	7	14.58	0	0.00	0	0.00	0	0.00

August 2023
Non-S&P 500 Stocks
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders	
Total Non-Directed Orders	18	100.00	18	100.00	0	0.00	0	0.00	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
Citadel Securities LLC(CDRG)	9	42.86	9	50.00	0	0.00	0	0.00	0	0.00
Jane Street Capital, LLC(JNST)	5	23.81	5	27.78	0	0.00	0	0.00	0	0.00
Instinet BlockCross(BLKK)	3	14.29	0	0.00	0	0.00	0	0.00	3	100.00
VIRTU Americas, LLC(NITE)	3	14.29	3	16.67	0	0.00	0	0.00	0	0.00
G1 Execution Services, LLC(ETMM)	1	4.76	1	5.56	0	0.00	0	0.00	0	0.00

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Option Contracts
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders	
Total Non-Directed Orders	6	100.00	0	0.00	0	0.00	2	33.33	4	66.67

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
Citadel Securities LLC(CDRG)	3	50.00	0	0.00	0	0.00	1	50.00	2	50.00
Dash Financial Technologies LLC(DASH)	2	33.33	0	0.00	0	0.00	1	50.00	1	25.00
Susquehanna Capital Group(SUSQ)	1	16.67	0	0.00	0	0.00	0	0.00	1	25.00

September 2023
S&P 500 Stocks
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders	
Total Non-Directed Orders	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
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September 2023
Non-S&P 500 Stocks
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders	
Total Non-Directed Orders	13	100.00	13	100.00	0	0.00	0	0.00	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
Citadel Securities LLC(CDRG)	6	46.15	6	46.15	0	0.00	0	0.00	0	0.00
VIRTU Americas, LLC(NITE)	4	30.77	4	30.77	0	0.00	0	0.00	0	0.00
Jane Street Capital, LLC(JNST)	3	23.08	3	23.08	0	0.00	0	0.00	0	0.00

September 2023
Option Contracts
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders	
Total Non-Directed Orders	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
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